

# Accurate and Efficient Proximity Effect Correction for Electron Beam Lithography Based on Distributed Parallel Computing

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**Abstract**— This paper proposes an efficient proximity effect correction (PEC) method for electron beam lithography (EBL) based on distributed parallel computing. To facilitate PEC calculations of large-scale layout, this method splits the exposure layout into multiple sub-layouts, and distributes them to different computer nodes for calculation through the message passing interface (MPI) technology. Under the premise of ensuring accuracy of PEC of the same layout, compared to the calculation time of 1 computing node (56 CPU cores per node), the acceleration ratios using  $N_{\text{node}}=2, 4$  and 6 nodes are  $N_{\text{ratio}}=2.28, 5.29$ , and 8.31, respectively. Given the same PEC calculation case with  $N_{\text{pixel}}$  pixels, when  $N_{\text{node}}$  is increased, the number of pixels calculated by one node ( $n=N_{\text{pixel}}/N_{\text{node}}$ ) decreases, leading to  $N_{\text{ratio}}/N_{\text{node}} > 1$  due to the  $O(n \times \log(n))$  time complexity of the fast Fourier transform (FFT) in convolution computation. The proposed distributed parallelization scheme has been implemented in the second version of the HNU-EBL software (<http://www.ebeam.com.cn/>).

**Keywords**—electron beam lithography, proximity effect correction, distributed parallel computing, MPI, FFT

## I. INTRODUCTION

Electron beam lithography (EBL) is a high-precision maskless direct-write lithography technology for micro-nano patterning [1-3]. Proximity effect (PE) of electron beam lithography (EBL) is a phenomenon caused by the scattering of the incident electrons with the resist and substrate. The PE leads to distorted energy deposition in the exposed layout, which will seriously affect the lithography pattern quality. Proximity effect correction (PEC) methods [4-6] optimize target layout by modifying layout exposure dose or pattern shape. As the critical dimension (CD) size of the layout is further reduced, the amount of computation used to calculate the PEC will increase dramatically. When calculating a large-sized exposure layout, it is difficult to meet the computational efficiency requirements using one computing node. Therefore, it is necessary to explore a PEC method based on multi-node parallelization.

This paper proposes an efficient PEC method for EBL based on distributed parallel computing. The energy deposition calculation of the layout is the most time-consuming part of the PEC method. The fast Fourier transform (FFT) convolution is often used to calculate convolution for two-dimensional layouts [7]. After overlapping processing, large-sized target layouts are split and distributed to different computing nodes through the message passing interface (MPI) technology [8]. Different nodes are responsible for the FFT calculation of a certain area, and finally these sub-areas are integrated into the result layout. The accuracy and efficiency of the proposed method have been verified by experiments. In addition, the parallel computing module based on the supercomputing platform has been integrated to the HNU-EBL software [9-11].

In section II, the PEC method based distributed parallel computing is detailed. In section III, the accuracy and efficiency of the proposed method are verified. In section IV, HNU-EBL software is introduced. In section V, a summary is made.

## II. MODEL AND METHOD

In the section, we present PEC method for EBL based on distributed parallel computing. In Section II.A, the error of our proposed method is obtained by analyzing the evaluation of layout energy deposition. In Section II.B, the steps of our proposed method are described.

### A. Energy Deposition Analysis

The Monte Carlo method [12] can be used to simulate the energy distribution of electrons in the resist and the substrate, and can be fitted with several forms of Gaussian functions [13, 14]. In this paper, without loss of generality, the double Gaussian function

$$P(r) = \frac{K}{\pi(1+\eta)} \left( \frac{1}{\alpha^2} \exp\left(-\frac{r^2}{\alpha^2}\right) + \frac{\eta}{\beta^2} \exp\left(-\frac{r^2}{\beta^2}\right) \right) \quad (1)$$

is used as the point spread function (PSF), where  $\alpha, \beta$  represent the range of forward scattering and back scattering, respectively, and  $\eta$  is the ratio of the energy intensity of the forward scattering and back scattering,  $K$  is a constant.

The energy deposition distribution after exposure can be obtained by convolving PSF with the layout exposure dose as

$$E(\mathbf{r}) = \iint P(|\mathbf{r}-\mathbf{r}'|)\sigma(\mathbf{r}')d\mathbf{r}' \quad (2)$$

where  $E(\mathbf{r})$  is the energy deposition located at the layout  $\mathbf{r}=(x, y)$ ;  $\sigma(\mathbf{r}')$  is the exposure dose is the energy deposition located at the layout  $\mathbf{r}'=(x', y')$ .

The desired energy deposition position is the origin of the coordinate axis (i.e.,  $\mathbf{r}=(0, 0)$ ). The effect region of the layout dose distribution is  $[-d, d] \times [-d, d]$ . The energy deposition contribution  $E_d$  in this effect region is

$$E_d = \frac{K}{\pi(1+\eta)} \left( \int_{-d}^d \int_{-d}^d \frac{1}{\alpha^2} \exp\left(-\frac{x^2+y^2}{\alpha^2}\right) \sigma(x, y) dx dy \right. \\ \left. + \eta \int_{-d}^d \int_{-d}^d \frac{1}{\beta^2} \exp\left(-\frac{x^2+y^2}{\beta^2}\right) \sigma(x, y) dx dy \right) \quad (3)$$

The upper limit  $e$  of the energy deposition error at the origin can be obtained as [15]

$$e = \frac{4K\sigma_{max}}{1+\eta} \left( \left(1 - \Phi\left(\frac{\sqrt{2}d}{\alpha}\right)\right)^2 + \eta \left(1 - \Phi\left(\frac{\sqrt{2}d}{\beta}\right)\right)^2 \right) \quad (4)$$

where  $\sigma_{max}$  is the maximum value of the layout dose distribution; the normal distribution function  $\Phi(x)$  is

$$\Phi(x) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^x \exp\left(-\frac{t^2}{2}\right) dt \quad (5)$$

In the above analysis, the energy deposition in our method only evaluates the influence of part of the layout, which can meet the error requirements. Note that the above precision analysis can be extended to other forms of PSF.

### B. Distributed Parallel Computing Method

The proposed method consists of three main steps:

**Step (1)** Import GDSII layout and splits the exposure layout into multiple sub-layouts. Each computing node reads a part of the layout and gets the coordinates of all element vertices. According to the size of the layout and the number of computing nodes, the target layout is divided into multiple sub-layouts with  $m$  rows and  $n$  columns. Each computing node will perform PEC on a sub-layout. Therefore, computing nodes need to exchange the layout information read before, so that each computing node has all the information of a sub-layout. As shown in Fig. 1 (a), the layout information possessed by the computing nodes  $P_i$  and  $P_j$  are represented in blue and red, respectively. The computing node  $P_i$  needs to send the information of the elements in the black dashed box to  $P_j$ , and then the layout information owned by the computing nodes  $P_i$  and  $P_j$  is shown in Fig. 1 (b). Finally, each computing node has all the information of a sub-layout.

**Step (2)** Computing nodes communication and sub-layout energy deposition evaluation. As shown in Fig. 2 (a), the edge information of adjacent sub-layout is exchanged via MPI non-blocking communication interfaces `MPI_Irecv` and `MPI_Isend`. And  $d$  can be determined according to the PSF parameter and Eq. (4). Then each

computing node has all the information of the sub-layout owned by itself, including the edge information of adjacent sub-layout. To exploit the overlap of computation and communication, while sending and receiving data using the MPI non-blocking interface, the pre-computation data is prepared using in subsequent computations for energy deposition. In Fig. 2 (a), the computing node  $P_i$  receives the sub-layout edge information owned by the computing nodes  $P_{i-n-1}, P_{i-n}, P_{i-n+1}, P_{i-1}, P_{i+1}, P_{i+n-1}, P_{i+n}$ , and  $P_{i+n+1}$  as grey area. And the range of the layout data owned by the node  $P_i$  is shown in the black dashed box shown in Fig. 2 (b). Finally, the energy deposition of the current sub-layout can be calculated using the FFT by

$$E(\mathbf{r}_i) = \sum_{j=1}^N P(|\mathbf{r}_i - \mathbf{r}_j|) \sigma(\mathbf{r}_j) \quad (6)$$

where  $E(\mathbf{r}_i)$  is the energy deposition at the exposure point located at layout  $\mathbf{r}_i$ ,  $\sigma(\mathbf{r}_j)$  is the exposure dose at the exposure point at layout  $\mathbf{r}_j$ ,  $N$  is the number of exposure points of the layout. Eq. (6) is the discretized form of Eq. (2).

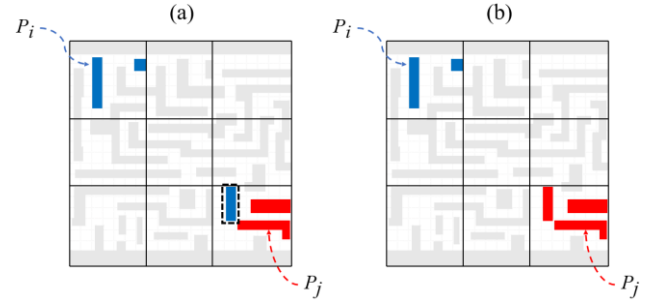


Fig. 1 (a), (b) represent the layout data owned by computing nodes  $P_i$  and  $P_j$  before and after exchanging information, respectively.

After calculating the layout energy deposition, the development process was simulated using a threshold development model.

$$H(\mathbf{r}) = \begin{cases} 0, & E(\mathbf{r}) < E_{thr} \\ 1, & E(\mathbf{r}) \geq E_{thr} \end{cases} \quad (7)$$

where  $E_{thr}$  is a threshold value related to the resist dissolution,  $H(\mathbf{r})$  is the layout shape after development. The quality of the developed layout can be quantified by the mean square error (MSE) defined as

$$MSE = \frac{\sum_{i=1}^N (H(\mathbf{r}_i) - D(\mathbf{r}_i))^2}{N} \quad (8)$$

where  $D(\mathbf{r})$  is the target development contour of the layout.

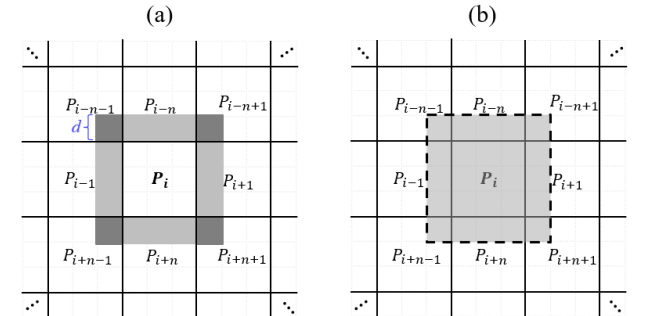


Fig. 2 (a) the adjacent computing nodes of  $P_i$  need to send the edge of the sub-layout they own to  $P_i$ , (b)  $P_i$  finally has all the information about the layout inside the dotted box.

**Step (3)** Dose correction. When MSE is less than the preset error limit  $\varepsilon$ , the PEC is completed. Otherwise, the layout dose distribution needs to be updated, and iterated from **Step (2)** to **Step (3)** until MSE is less than the preset error limit  $\varepsilon$ . The dose distribution  $\sigma(\mathbf{r})$  is updated using the state-of-the-art iterative method and more details can be found in [16, 17].

$$\sigma_k(\mathbf{r}) = \frac{D_T \sigma_{k-1}(\mathbf{r})}{E(\mathbf{r})} \quad (9)$$

where  $\sigma_k(\mathbf{r})$  is the input dose for the  $k$ -th iteration,  $D_T$  is the target energy deposition and  $\varepsilon$  is a preset constant.

### III. RESULT

In this section, we describe the results from numerical experiments that demonstrate the scalability of our method for different layout sizes and the accuracy under different computer nodes.

**(1) PSF parameter.** The HNU-EBL software integrates the Monte Carlo method to simulate the redistribution of the energy caused by the scattering of the electron beam in the resist and the substrate. The PSF is obtained by fitting the redistributed energy with a double Gaussian function. In this experiment, the double Gaussian PSF shown in Eq. (1) is used, where  $\alpha=14.982$  nm,  $\beta=197.479$  nm,  $\eta=1.6593$ ,  $K=25.0363$ . Note that the proposed method is applicable to other PSF models.

**(2) Machines.** The main scalability results have been obtained that each compute node have two Intel(R) Xeon(R) Gold 6258R CPU @ 2.70GHz processor with 56 cores and 192 GB memory.

**(3) Implementations and libraries.** The algorithms were implemented in C++ using the MPI and FFTW libraries and accelerated with Open Multiprocessing (OpenMP) [18].

**(4) Scalability tests.** The scalability results are reported in Fig. 3. In our experiments, the size of the layout is  $N_{\text{pixel}}=2.88, 3.34, 3.84, 4.30, \times 10^9$  pixels, and the number of computing nodes is  $N_{\text{node}}=1, 2, 4, \text{ and } 6$ , respectively. Under the premise of ensuring accuracy of PEC of the same layout, compared to the calculation time of 1 computing node (56 CPU cores per node), Fig. 3 (a) shows that when the number of computing nodes is fixed, the computing time increases linearly with the layout size. Fig. 3 (b) shows that when the layout size is fixed, the computing time is inversely proportional to the number of computing nodes, and the acceleration ratios is linearly related to the number of computing nodes. The acceleration ratios using  $N_{\text{node}}=2, 4$  and  $6$  nodes are  $N_{\text{ratio}}=2.28, 5.29$ , and  $8.31$ , respectively. Given the same PEC calculation case with  $N_{\text{pixel}}$  pixels, when  $N_{\text{node}}$  is increased, the number of pixels calculated by one node ( $n=N_{\text{pixel}}/N_{\text{node}}$ ) decreases, leading to  $N_{\text{ratio}}/N_{\text{node}} > 1$  due to the  $O(n \times \log(n))$  time complexity of the fast Fourier transform (FFT) in convolution computation.

**(5) Accuracy tests.** The accuracy results are reported in Fig. 4. The size of the layout is  $N_{\text{pixel}}=2.57 \times 10^7$  pixels, and the number of computing nodes is  $N_{\text{node}}=1, 2, 4, 6$ , and  $9$ , respectively. The Fig. 4 (a), (b), (c), and (d) represent the relative errors that computing nodes is  $N_{\text{node}}=2, 4, 6$ , and  $9$ , respectively. In the implementation of our proposed method, the width of the sub-layout edge region is  $d=4.5 \times \beta / \sqrt{2}$ , i.e.,  $d=628$ . Thus, the theoretical  $e$  is about

$10^{-11}$ . When the single-precision data type is used in the proposed method, our computational accuracy will be guaranteed. Fig. 4 shows that the maximum relative error  $e$  is about  $1 \times 10^{-5}$ , indicating that our proposed distributed parallel algorithm is extremely accurate.

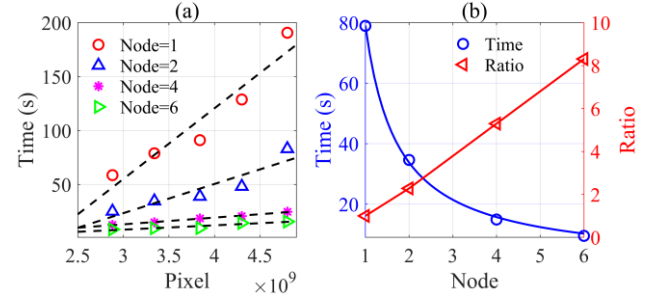


Fig. 3 (a) In the case of several different nodes, the calculation time consumption is with the change curve of the number of pixels. (b) For a test benchmark layout, the speedup ratios under different node cases are analyzed.

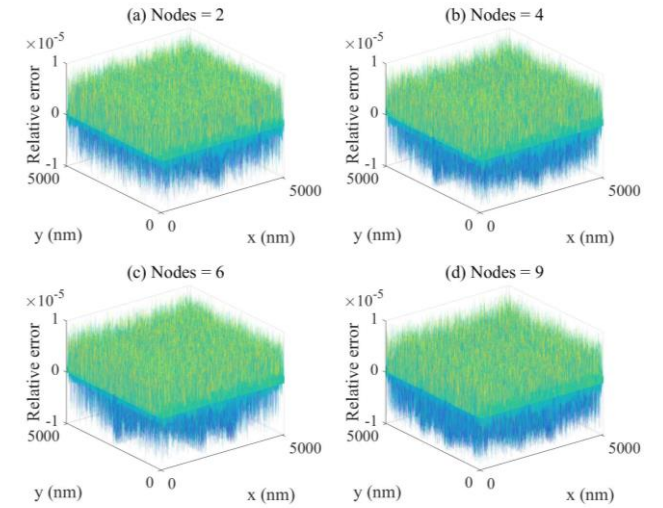


Fig. 4 The relative error distribution between the multi-computing node and the single-computing node PEC results. (a), (b), (c), and (d) represent the relative errors that computing nodes is  $N_{\text{node}}=2, 4, 6$ , and  $9$  on the PEC of the same layout, respectively.

### IV. SOFTWARE

The PEC method based on distributed parallel computing has been integrated to the HNU-EBL software, developed by Hunan University. For more details of the HNU-EBL software, please refer to <http://www.ebeam.com.cn/>.

As shown in Fig. 5, after the function "Using Supercomputer" is checked and the relevant parameters are entered, the computing task can be submitted to the supercomputing platform. Note that user needs to log in before using this function. As shown in Fig. 6, after submitting computing task, user can update computing task status, cancel computing task and download the PEC results file in the user interface.



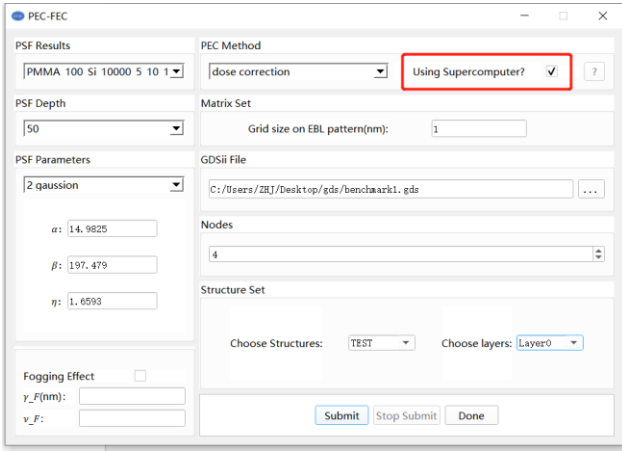


Fig. 5 Schematic diagram of PEC task submission interface based on distributed parallel computing.

JobID	Account	Start	End	Elapsed	Nodes	State	Operation
1 1390364	zhj	2022-06-30T23:38:41	2022-06-30T23:38:42	00:00:01	1	COMPLETED	Update Cancel Download
2 1390555	zhj	2022-06-30T23:38:41	2022-06-30T23:38:42	00:00:01	1	COMPLETED	Update Cancel Download
3 1436256	zhj	2022-06-30T23:38:41	2022-06-30T23:38:42	00:00:01	1	COMPLETED	Update Cancel Download
4 1456924	zhj	2022-06-30T23:38:41	2022-06-30T23:38:42	00:00:01	1	COMPLETED	Update Cancel Download
5 1663267160	zhj	Unknown	Unknown	Unknown	1	PENDING	Update Cancel Download
6 1663268582	zhj	Unknown	Unknown	Unknown	1	PENDING	Update Cancel Download

Fig. 6 Schematic diagram of user task management interface based on supercomputing platform.

## V. CONCLUSION

This paper proposes a PEC method for EBL based on distributed parallel computing. The proposed method breaks through the limitation of computing memory and time for the PEC of super-large layouts. The experiment result shows that compared with the traditional single-node computing method, the acceleration ratios using  $N_{\text{node}}=2, 4$  and 6 nodes (56 CPU cores per node) are  $N_{\text{ratio}}=2.28, 5.29$ , and 8.31 under the premise of ensuring the calculation accuracy.

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